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# Schema Mappings and Data Examples

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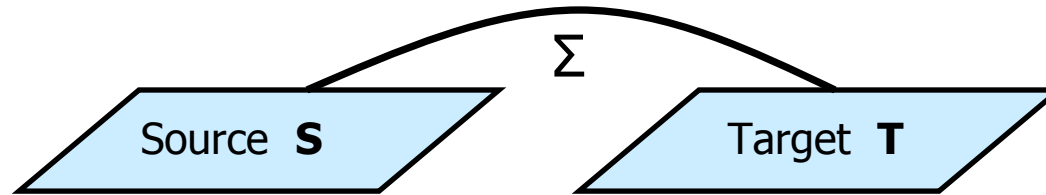
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&  
IBM Research – Almaden

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# Schema Mappings and Data Examples

- **Characterizing Schema Mappings via Data Examples**  
Bogdan Alexe, Phokion Kolaitis, Wang-Chiew Tan  
ACM Symposium on Principles of Database Systems (PODS) 2010.
- **Database Constraints and Homomorphism Dualities**  
Balder ten Cate, Phokion Kolaitis, Wang-Chiew Tan  
Principles and Practice of Constraint Programming (CP) 2010.

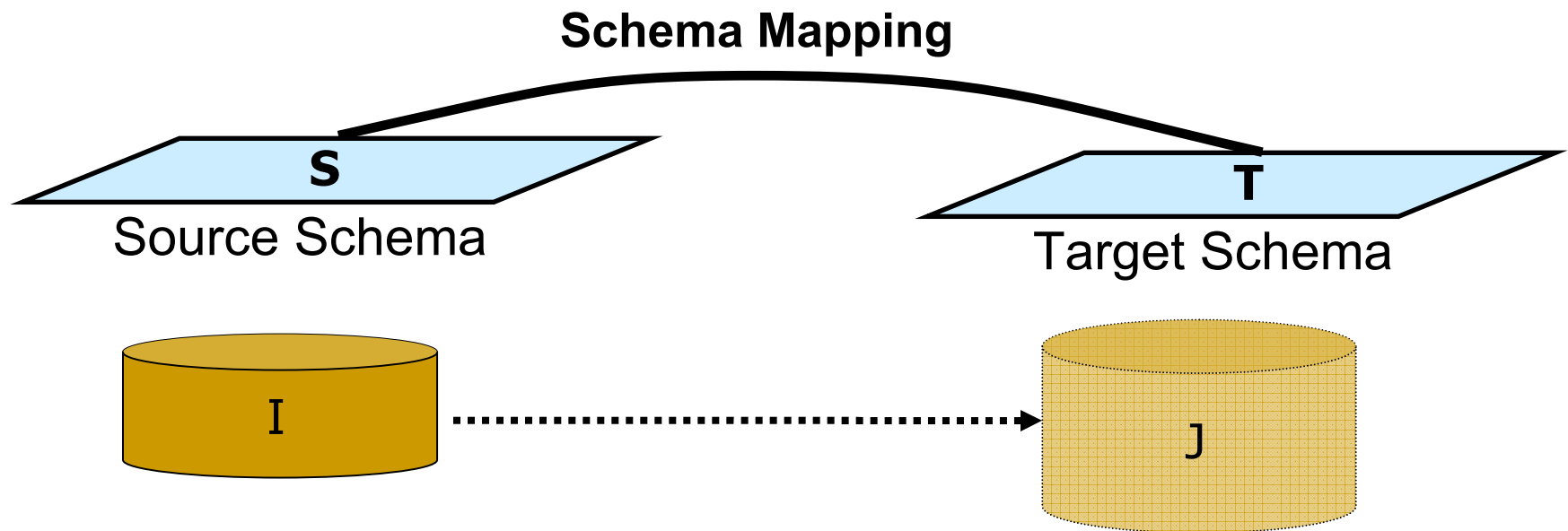
# Schema Mappings



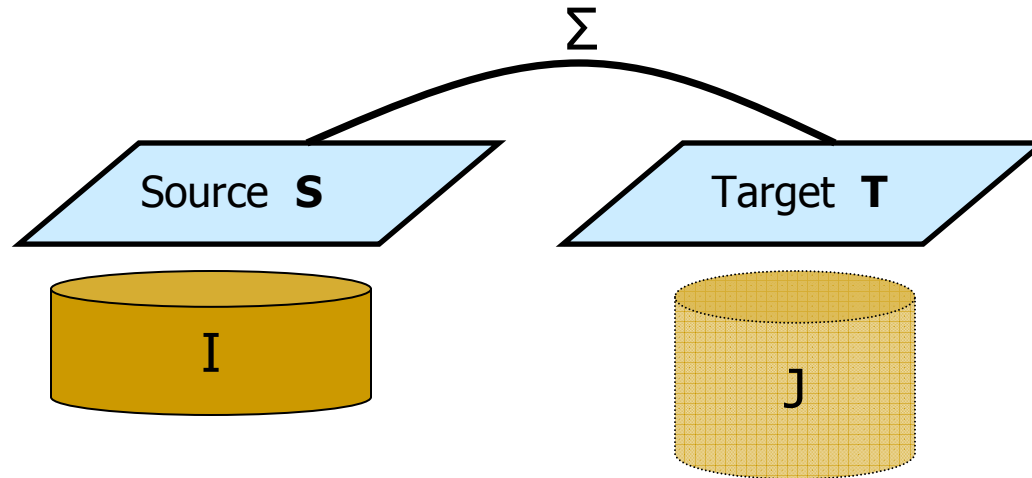
- Schema Mapping  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$ 
  - Source schema **S**, Target schema **T**
  - High-level, declarative assertions  $\Sigma$  that specify the relationship between **S** and **T**.
  - Typically,  $\Sigma$  is a finite set of formulas in some suitable logical formalism.
- Schema mappings are the essential **building blocks** in formalizing **data integration** and **data exchange**.

# Data Exchange

- Data exchange: transforming data structured under a **source** schema into data structured under a different **target** schema.
- [Fagin-K ...-Miller-Popa 2003]



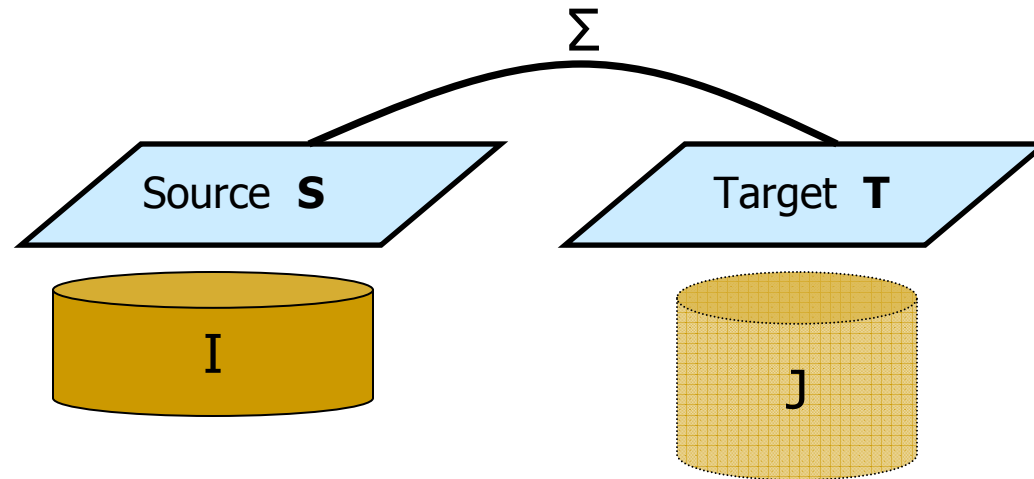
# Semantics of Schema Mappings



$\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  a schema mapping

- **Data Example:** A pair  $(I, J)$  where  $I$  is a source instance and  $J$  is a target instance.
- **Positive Data Example for  $\mathbf{M}$ :**  $(I, J) \models \Sigma$ 
  - In this case, we say that  $J$  is a **solution** for  $I$  w.r.t.  $\mathbf{M}$
- From a **semantic** point of view,  $\mathbf{M}$  can be identified with  $\text{Sem}(\mathbf{M}) = \{ (I, J) : (I, J) \text{ is a positive data example for } \mathbf{M} \}$

# Semantics of Schema Mappings



**Note:** If  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  is a schema mapping, then  $\mathbf{M}$  is a **finite syntactic** representation of the infinite collection  $\text{Sem}(\mathbf{M}) = \{ (I, J): (I, J) \text{ is a positive data example for } \mathbf{M} \}$

## Problem:

- Is there a **finite semantic** representation of  $\text{Sem}(\mathbf{M})$ ?
- Can  $\mathbf{M}$  be “**captured**” by finitely many data examples?

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# Motivation

- In real-life applications, schema mappings can be quite complex, even when derived manually.
- There is a clear need to illustrate, understand, and refine schema mappings using “good” data examples.
  - This is analogous to the venerable tradition of using test cases in understanding and debugging programs.
  - Earlier work by the database community includes:
    - Yan, Miller, Haas, Fagin – 2001  
“Understanding and Refinement of Schema Mappings”
    - Olston, Chopra, Srivastava – 2009  
“Generating Example Data for Dataflow Programs”.

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# Goals

- Develop a foundation for the systematic investigation of “good” data examples for schema mappings.
- Obtain technical results that shed light on both the **capabilities** and **limitations** of data examples in capturing schema mappings.



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# GLAV Schema Mappings

- Here, we focus on GLAV schema mappings, that is, schema mappings  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$ , where  $\Sigma$  is a finite set of **Global-And-Local-As-View** (GLAV) constraints, also known as **source-to-target tuple-generating dependencies** (s-t tgds).

## **Note:**

GLAV schema mappings are the most extensively studied and widely used class of schema mappings to date.

# GLAV Schema Mappings

- The relationship between source and target is given by **Global-And-Local-As-View (GLAV)** constraints, also known as **source-to-target tuple generating dependencies (s-t tgds)**:

$$\forall \mathbf{x} (\varphi(\mathbf{x}) \rightarrow \exists \mathbf{y} \psi(\mathbf{x}, \mathbf{y})), \text{ where}$$

- $\varphi(\mathbf{x})$  is a conjunction of atoms over the source;
  - $\psi(\mathbf{x}, \mathbf{y})$  is a conjunction of atoms over the target.
- 
- **Examples:**
    1.  $\forall s \forall c (\text{Student}(s) \wedge \text{Enrolls}(s,c) \rightarrow \exists g \text{Grade}(s,c,g))$
    2.  $\forall s \forall c (\text{Student}(s) \wedge \text{Enrolls}(s,c) \rightarrow \exists t \exists g (\text{Teaches}(t,c) \wedge \text{Grade}(s,c,g)))$

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# LAV and GAV Schema Mappings

**Fact:** GLAV constraints:

**(1)** Generalize **LAV (local-as-view)** constraints:

$\forall \mathbf{x} ( P(\mathbf{x}) \rightarrow \exists \mathbf{y} \psi(\mathbf{x}, \mathbf{y}))$ , where  $P$  is a **source** relation.

**(2)** Generalize **GAV (global-as-view)** constraints:

$\forall \mathbf{x} (\varphi(\mathbf{x}) \rightarrow R(\mathbf{x}))$ , where  $R$  is a **target** relation.

# LAV and GAV Constraints

## Examples of LAV (local-as-view) constraints:

- Copy:  $\forall x \forall y (P(x,y) \rightarrow R(x,y))$
- Decomposition:  $\forall x \forall y \forall z (Q(x,y,z) \rightarrow R(x,y) \wedge T(y,z))$
- $\forall x \forall y (E(x,y) \rightarrow \exists z (H(x,z) \wedge H(z,y)))$

## Examples of GAV (global-as-view) constraints:

- Copy:  $\forall x \forall y (P(x,y) \rightarrow R(x,y))$
- Projection:  $\forall x \forall y \forall z (Q(x,y,z) \rightarrow T(y,z))$
- Join:  $\forall x \forall y \forall z (E(x,y) \wedge E(y,z) \rightarrow H(x,z))$

## Note:

$$\forall s \forall c (\text{Student}(s) \wedge \text{Enrolls}(s,c) \rightarrow \exists g \text{Grade}(s,c,g))$$

is a GLAV constraint that is neither a LAV nor a GAV constraint

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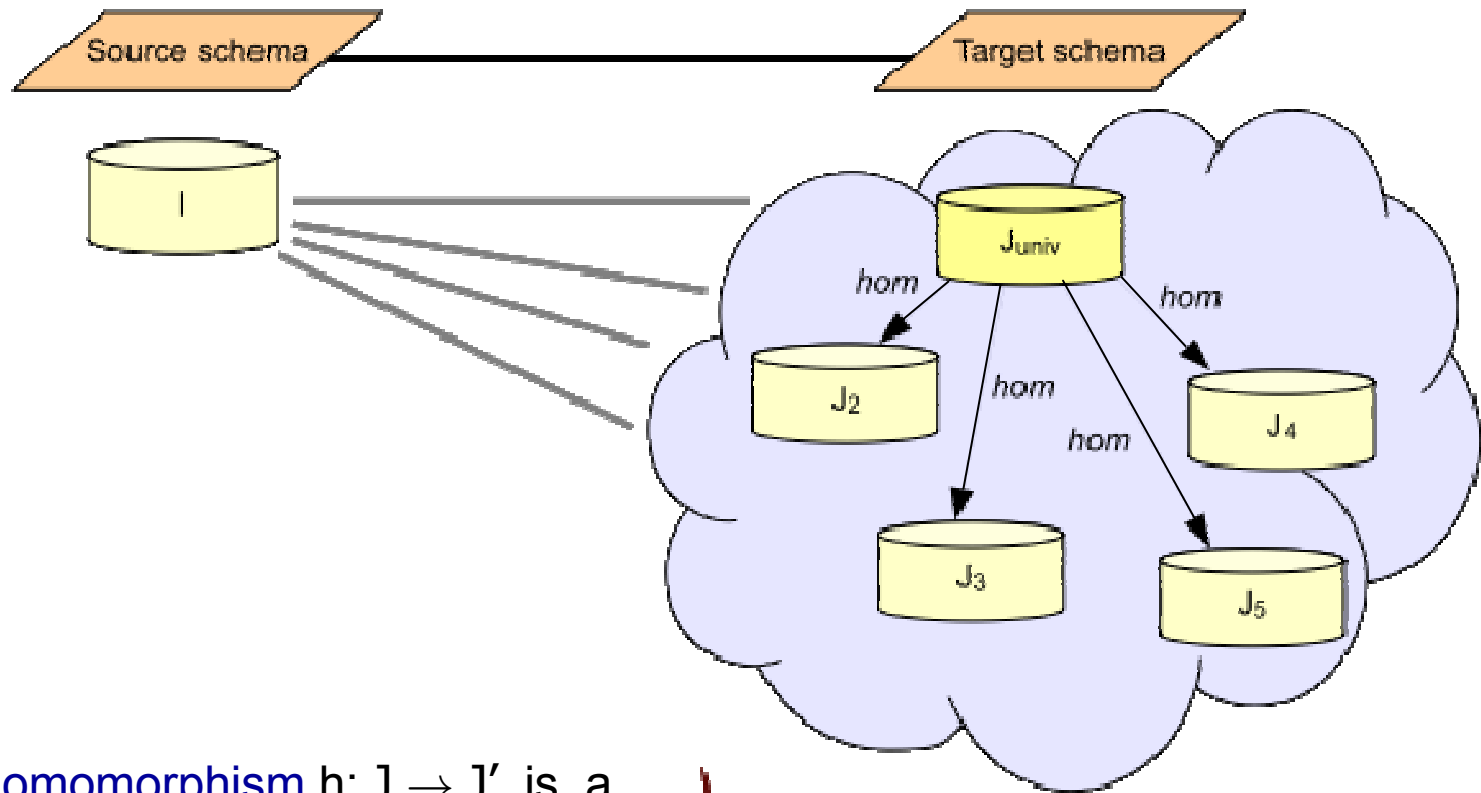
# GLAV Mappings and Universal Solutions

**Note:** A key property of GLAV schema mappings is the **existence of universal solutions**; intuitively, they are the most general solutions.

**Theorem** (FKMP 2003)  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  a GLAV schema mapping.

- Every source instance  $I$  has a **universal solution**  $J$  **w.r.t.  $\mathbf{M}$** , i.e., a solution  $J$  for  $I$  such that if  $J'$  is another solution for  $I$ , then there is a homomorphism  $h: J \rightarrow J'$  that is constant on  $\text{adom}(I)$  ( $h(c)=c$ , for  $c \in \text{adom}(I)$ ).
- Moreover, the **chase procedure** can be used to construct, given a source instance  $I$ , a canonical universal solution  $\text{chase}_{\mathbf{M}}(I)$  for  $I$  in polynomial time.

# Universal Solutions in Data Exchange



**Defn:** A **homomorphism**  $h: J \rightarrow J'$  is a function sending every constant (non-null) value to itself, and preserving facts  
( $P(a_1 \dots a_n) \in J \Rightarrow P(h(a_1) \dots h(a_n)) \in J'$ )

# Example

- Consider the schema mapping  $\mathbf{M} = (\{E\}, \{F\}, \Sigma)$ , where  
 $\Sigma = \{ E(x,y) \rightarrow \exists z (F(x,z) \wedge F(z,y)) \}$
- Source instance  $I = \{ E(1,2) \}$
- **Solutions** for  $I$  :
  - $J_1 = \{ F(1,X), F(X,2) \}$  (universal)
  - $J_2 = \{ F(1,2), F(2,2) \}$  (**not** universal)
  - $J_3 = \{ F(1,X), F(X,2), F(Y,Y) \}$  (**not** universal)  
(where  $X$  and  $Y$  are labeled null values)
  - ...

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# Unique Characterizations via Universal Examples

**Definition:** Let  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  be a GLAV schema mapping.

- A **universal example** for  $\mathbf{M}$  is a data example  $(I, J)$  such that  $J$  is a universal solution for  $I$  w.r.t.  $\mathbf{M}$ .

- Let  $\mathbf{U}$  be a finite set of universal examples for  $\mathbf{M}$ , and let  $\mathbf{C}$  be a class of GLAV constraints.

We say that  $\mathbf{U}$  **uniquely characterizes  $\mathbf{M}$  w.r.t.  $\mathbf{C}$**  if for every finite set  $\Sigma' \subseteq \mathbf{C}$  such that  $\mathbf{U}$  is a set of universal examples for the schema mapping  $\mathbf{M}' = (\mathbf{S}, \mathbf{T}, \Sigma')$ , we have that  $\Sigma \equiv \Sigma'$ .



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# Unique Characterizations via Universal Examples

## **Question:**

Which GLAV schema mappings can be uniquely characterized by a finite set of universal examples?

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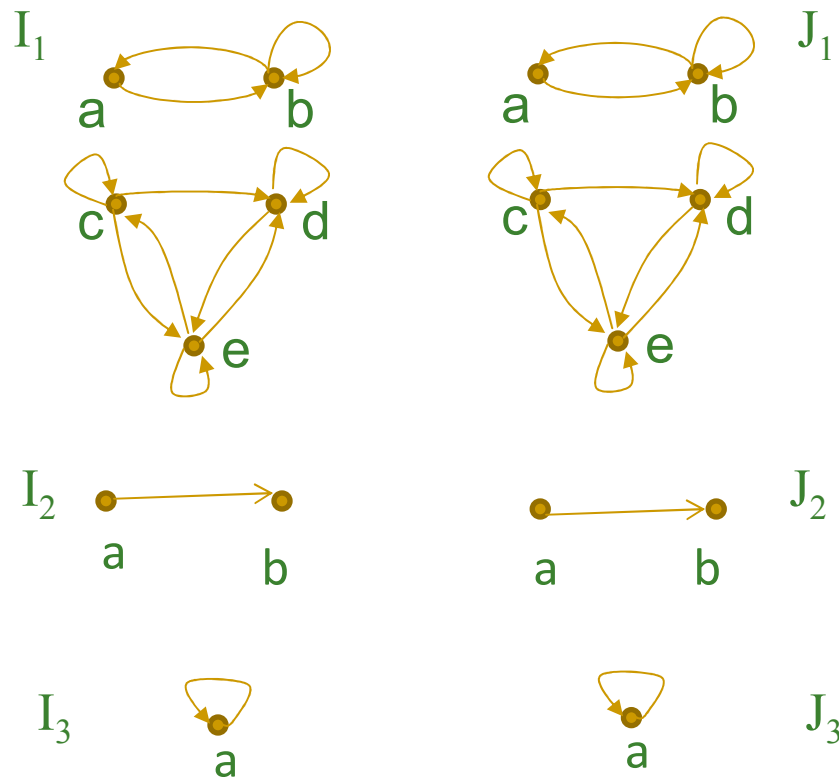
# Unique Characterizations Warm-Up

**Theorem:** Let **M** be the schema mapping specified by the binary **copy** constraint  $\forall x \forall y (E(x,y) \rightarrow F(x,y))$ .

- There is a finite set **U** of universal examples that uniquely characterizes **M** w.r.t. the class of all LAV constraints.
- There is a finite set **U'** of universal examples that uniquely characterizes **M** w.r.t. the class of all GAV constraints.
- There is **no** finite set of universal examples that uniquely characterizes **M** w.r.t. the class of all GLAV constraints.

# Unique Characterizations Warm-Up

The set  $\mathbf{U}' = \{ (I_1, J_1), (I_2, J_2), (I_3, J_3) \}$  uniquely characterizes the copy schema mapping w.r.t. to the class of all GAV constraints.



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# Summary of Main Results

## **PODS 2010 paper** (Alexe, K ..., Tan):

- Connection between unique characterizations and **Armstrong bases**.
- Every LAV schema mapping is uniquely characterizable by a finite set of universal examples w.r.t. the class of all LAV constraints.
- There are GAV schema mappings that are **not** uniquely characterizable by any finite set of universal examples w.r.t. the class of all GAV constraints.

## **CP 2010 Paper** (ten Cate, K ..., Tan):

- Necessary and sufficient condition for a GAV schema mapping to be uniquely characterizable by a finite set of universal examples w.r.t. to the class of all GAV constraints.
- Algorithmic criterion for such a unique characterizability of GAV schema mappings.

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# Unique Characterizations of LAV Mappings

**Theorem:** If  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  is a LAV schema mapping, then there is a finite set  $\mathbf{U}$  of universal examples that uniquely characterizes  $\mathbf{M}$  w.r.t. the class of all LAV constraints.

## Hint of Proof:

- Let  $d_1, d_2, \dots, d_k$  be  $k$  distinct elements, where  $k = \text{maximum arity of the relations in } \mathbf{S}$ .
- $\mathbf{U}$  consists of all universal examples  $(I, J)$  with  $I = \{ R(c_1, \dots, c_m) \}$  and  $J = \text{chase}_{\mathbf{M}}(\{ R(c_1, \dots, c_m) \})$ , where each  $c_i$  is one of the  $d_j$ 's.

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## Further Unique Characterizations

**Definition:** (ten Cate, K ... - 2009) Let  $n$  be a positive integer. A schema mapping  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  is  **$n$ -modular** if for every data example  $(I, J)$  that does **not** satisfy  $\Sigma$ , there is a sub-instance  $I'$  of  $I$  with  $|\text{adom}(I')| \leq n$  such that  $(I', J)$  does **not** satisfy  $\Sigma$ .

**Theorem:** If  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  is a  $n$ -modular GLAV schema mapping, then there is a finite set  $\mathbf{U}$  of universal examples that uniquely characterizes  $\mathbf{M}$  w.r.t. the class of all  $n$ -modular constraints.

**Corollary:** Every **self-join-free on the source** GLAV schema mapping is uniquely characterizable via universal examples.

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# Unique Characterizations of GAV Mappings

**Note:** Recall that for the schema mapping specified by the binary copy constraint  $\forall x \forall y (E(x,y) \rightarrow F(x,y))$ , there is a finite set of universal examples that uniquely characterizes it w.r.t. the class of all GAV constraints.

In contrast,

**Theorem:** Let **M** be the GAV schema mapping specified by  $\forall x \forall y \forall u \forall v \forall w (E(x,y) \wedge E(u,v) \wedge E(v,w) \wedge E(w,u) \rightarrow F(x,y))$ . There is **no** finite set of universal examples that uniquely characterizes **M** w.r.t. the class of all GAV constraints.

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# Unique Characterizations of GAV Mappings

**Theorem:** Let  $\mathbf{M}$  be the GAV schema mapping specified by  $\forall x \forall y \forall u \forall v \forall w (E(x,y) \wedge E(u,v) \wedge E(v,w) \wedge E(w,u) \rightarrow F(x,y))$ . There is **no** finite set of universal examples that uniquely characterizes  $\mathbf{M}$  w.r.t. the class of all GAV constraints.

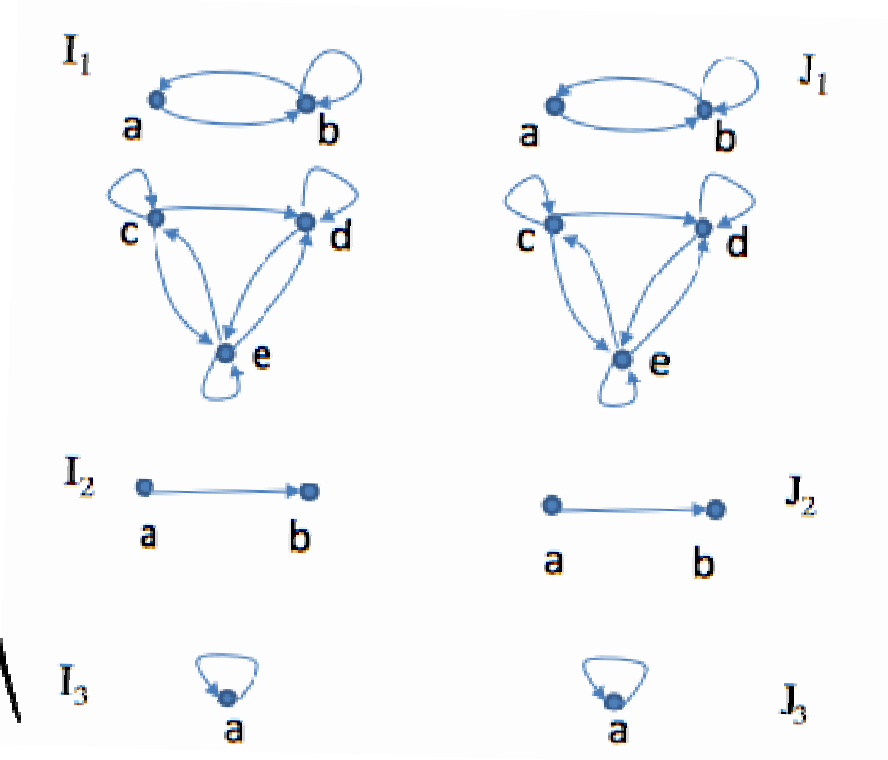
## Note:

- This extends to every GAV schema mapping specified by  $\forall x \forall y (E(x,y) \wedge Q_G \rightarrow F(x,y))$ , where  $Q_G$  is the **canonical conjunctive query** of a graph  $G$  containing a cycle.
- The proof uses a generalization, due to Nešetřil and Rödl, of Erdős' result about the existence of graphs of arbitrarily large girth and chromatic number.



# (Non-)Characterizable GAV Mappings

- $E(x,y) \rightarrow F(x,y)$ 
  
is uniquely characterizable
   
by these 3 universal examples:



In contrast,

- $E(x,y) \wedge E(u,v) \wedge E(v,w) \wedge E(w,u) \rightarrow E(u,v)$ 
  
is **not** uniquely characterizable.

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# Characterizing GAV Schema Mappings

- **Question:**

- What is the reason that some GAV schema mappings **are** uniquely characterizable w.r.t. the class of all GAV constraints while some others are **not**?
- Is there an algorithm for deciding whether or not a given GAV schema mapping is uniquely characterizable w.r.t. the class of all GAV constraints?

- **Answer:**

- The answers to these questions are closely connected to database constraints and **homomorphism dualities**.

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# Homomorphisms

**Notation:**  $\mathbf{A}, \mathbf{B}$  relational structures (e.g., graphs)

- $\mathbf{A} \rightarrow \mathbf{B}$  means there is a **homomorphism**  $h$  from  $\mathbf{A}$  to  $\mathbf{B}$ , i.e., a function  $h$  from the universe of  $\mathbf{A}$  to the universe of  $\mathbf{B}$  such that if  $P(a_1, \dots, a_m)$  is a fact of  $\mathbf{A}$ , then  $P(h(a_1), \dots, h(a_m))$  is a fact of  $\mathbf{B}$ .
  - **Example:**  $\mathbf{G} \rightarrow \mathbf{K}_2$  if and only if  $\mathbf{G}$  is 2-colorable
- $\rightarrow \mathbf{A} = \{ \mathbf{B} : \mathbf{B} \rightarrow \mathbf{A} \}$ 
  - **Example:**  $\rightarrow \mathbf{K}_2 =$  Class of 2-colorable graphs
- $\mathbf{A} \rightarrow = \{ \mathbf{B} : \mathbf{A} \rightarrow \mathbf{B} \}$ 
  - **Example:**  $\mathbf{K}_2 \rightarrow =$  Class of graphs with at least one edge.

# Homomorphism Dualities

- **Definition:** Let  $\mathbf{D}$  and  $\mathbf{F}$  be two relational structures

- $(\mathbf{F}, \mathbf{D})$  is a **duality pair** if for every structure  $\mathbf{A}$

$\mathbf{A} \rightarrow \mathbf{D}$  if and only if  $(\mathbf{F} \nrightarrow \mathbf{A})$ .

In symbols,  $\rightarrow \mathbf{D} = \mathbf{F} \nrightarrow$

- In this case, we say that  $\mathbf{F}$  is an **obstruction** for  $\mathbf{D}$ .

- **Examples:**

- For graphs,  $(\mathbf{K}_2, \mathbf{K}_1)$  is a duality pair, since

$\mathbf{G} \rightarrow \mathbf{K}_1$  if and only if  $\mathbf{K}_2 \nrightarrow \mathbf{G}$ .

- **Gallai-Hasse-Roy-Vitaver Theorem (~1965)** for directed graphs

Let  $\mathbf{T}_k$  be the linear order with  $k$  elements,  $\mathbf{P}_{k+1}$  be the path with  $k+1$  elements. Then  $(\mathbf{P}_{k+1}, \mathbf{T}_k)$  is a duality pair, since for every  $\mathbf{H}$

$\mathbf{H} \rightarrow \mathbf{T}_k$  if and only if  $\mathbf{P}_{k+1} \nrightarrow \mathbf{H}$ .

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# Homomorphism Dualities

- **Theorem (König 1936)**: A graph is 2-colorable if and only if it contains no cycle of odd length.

In symbols,  $\rightarrow\mathbf{K}_2 = \bigcap_{i \geq 0} (\mathbf{C}_{2i+1} \nrightarrow)$ .

- **Definition**: Let  $\mathbf{F}$  and  $\mathbf{D}$  be two sets of structures. We say that  $(\mathbf{F}, \mathbf{D})$  is a **duality pair** if for every structure  $\mathbf{A}$ , TFAE

- There is a structure  $\mathbf{D}$  in  $\mathbf{D}$  such that  $\mathbf{A} \rightarrow \mathbf{D}$ .
- For every structure  $\mathbf{F}$  in  $\mathbf{F}$ , we have  $\mathbf{F} \nrightarrow \mathbf{A}$ .

In symbols,  $\bigcup_{\mathbf{D} \in \mathbf{D}} (\rightarrow\mathbf{D}) = \bigcap_{\mathbf{F} \in \mathbf{F}} (\mathbf{F} \nrightarrow)$ .

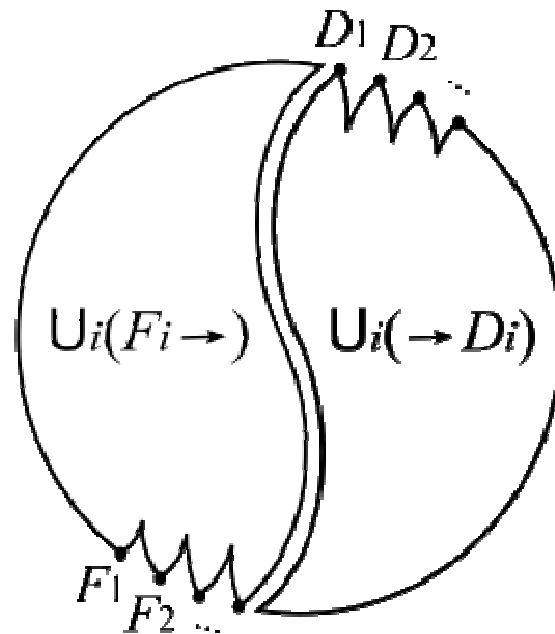
In this case, we say that  $\mathbf{F}$  is an **obstruction set** for  $\mathbf{D}$ .

# Homomorphism Dualities

**Duality Pair**  $(F, D)$ , where

$$F = \{F_1, F_2, \dots\}$$

$$D = \{D_1, D_2, \dots\}$$



**The Yin**

**“Dreams”:**  $U_i(-\rightarrow D_i)$

**The Yang**

**“Fears”:**  $U_i(F_i-\rightarrow)$

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# Homomorphism Dualities and Constraint Satisfaction

- **Theorem** (Atserias 2005, Rossman 2005)
  - For every structure  $\mathbf{D}$ , TFAE
    - $\rightarrow \mathbf{D}$  is first-order definable.
    - $\{\mathbf{D}\}$  has a **finite** obstruction set.
  - **Theorem** (Feder - Vardi 1993, K ... - Vardi – 1998)  
For every structure  $\mathbf{D}$ , TFAE
    - $\rightarrow \mathbf{D}$  is definable in co-Datalog (hence, it is in PTIME).
    - $\{\mathbf{D}\}$  has an obstruction set of **bounded treewidth**.
    - $\rightarrow \mathbf{D}$  is definable in finite-variable infinitary logic.
- Illustration: 2-Colorability**
- $\{\mathbf{C}_{2i+1} : i \geq 1\}$  is an obstruction set for  $\mathbf{K}_2$ .

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# Unique Characterizations and Homomorphism Dualities

**Theorem:** Let  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  be a GAV schema mapping.

Then the following statements are equivalent:

- $\mathbf{M}$  is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- For every target relation symbol  $R$ , the set  $\mathbf{F}(\mathbf{M}, R)$  of the **canonical structures** of the GAV constraints in  $\Sigma$  with  $R$  as their head is the obstruction set of some finite set of structures.



# Canonical Structures of GAV Constraints

## Definition:

- The **canonical structure** of a GAV constraint

$$\forall x (\varphi_1(x) \wedge \dots \wedge \varphi_k(x) \rightarrow R(x_{i_1}, \dots, x_{i_m}))$$

is the structure consisting of the atomic facts  $\varphi_1(x), \dots, \varphi_k(x)$  and having **constant symbols**  $c_1, \dots, c_m$  interpreted by the variables  $x_{i_1}, \dots, x_{i_m}$  in the atom  $R(x_{i_1}, \dots, x_{i_m})$ .

- Let  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  be a GAV schema mapping.

For every relation symbol  $R$  in  $\mathbf{T}$ , let  $\mathbf{F}(\mathbf{M}, R)$  be the set of all canonical structures of GAV constraints in  $\Sigma$  with the target relation symbol  $R$  in their head.

# Canonical Structures

## Examples:

- GAV constraint  $\sigma$

$$(E(x,y) \wedge E(y,z) \rightarrow F(x,z))$$

- Canonical structure:  $\mathbf{A}_\sigma = (\{x,y,z\}, \{E(x,y), E(y,z)\}, x, z)$
- Constants  $c_1$  and  $c_2$  interpreted by the distinguished elements  $x$  and  $z$ .

- GAV constraint  $\tau$

$$(E(x,y) \wedge E(z,z) \rightarrow F(x,y))$$

- Canonical structure:  $\mathbf{A}_\tau = (\{x,y,z\}, \{E(x,y), E(z,z)\}, x, y)$
- Constants  $c_1$  and  $c_2$  interpreted by the distinguished elements  $x$  and  $y$ .

- GAV constraint  $\theta$

$$(E(x,y) \wedge E(z,z) \rightarrow F(x,x))$$

- Canonical structure:  $\mathbf{A}_\theta = (\{x,y,z\}, \{E(x,y), E(z,z)\}, x, x)$
- Constants  $c_1$  and  $c_2$  both interpreted by the distinguished element  $x$ .

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# Unique Characterizations and Homomorphism Dualities

**Theorem:** Let  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  be a GAV schema mapping. Then the following statements are equivalent:

- $\mathbf{M}$  is uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- For every target relation symbol  $R$ , the set  $\mathbf{F}(\mathbf{M}, R)$  of the **canonical structures** of the GAV constraints in  $\Sigma$  with  $R$  as their head is the obstruction set of some finite set of structures.

**Note:** For structures  $\mathbf{A}$  and  $\mathbf{B}$  with distinguished elements, a homomorphism  $h: \mathbf{A} \rightarrow \mathbf{B}$  maps each distinguished element of  $\mathbf{A}$  to the corresponding distinguished element of  $\mathbf{B}$ .

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# Unique Characterizations and Homomorphism Dualities

## Question:

- Is there an algorithm to tell when a GAV schema mapping is uniquely characterizable via a finite set of universal examples w.r.t. to the class of all GAV constraints?

Equivalently,

- Is there an algorithm to tell when a finite set of structures with constants is the obstruction set of some finite set of structures with constants?

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# When do Homomorphism Dualities Exist?

**Theorem** (Foniok, Nešetřil, Tardif – 2008):

Let  $\mathbf{F}$  be a finite set of relational structures (without constants) consisting of homomorphically incomparable core structures.

- Then the following statements are equivalent:
  - $\mathbf{F}$  is an obstruction set of some finite set  $\mathbf{D}$  of structures.
  - Each structure  $\mathbf{F}$  in  $\mathbf{F}$  is “**acyclic**”.
- Moreover, there is an algorithm that, given such a set  $\mathbf{F}$  consisting of acyclic structures, computes a finite set  $\mathbf{D}$  of structures such that  $(\mathbf{F}, \mathbf{D})$  is a duality pair.

# Acyclicity

**Definition:** Let  $\mathbf{A} = (A, R_1, \dots, R_m)$  be a relational structure (no constants)

- The **incidence graph**  $\text{inc}(\mathbf{A})$  of  $\mathbf{A}$  is the bipartite graph with
  - nodes the elements of  $A$  and the facts of  $A$
  - edges between elements and facts in which they occur
- The structure  $\mathbf{A}$  is **acyclic** if
  - $\text{Inc}(A)$  is an acyclic graph, and
  - No element occurs in the same fact twice.

## Example:

- $\mathbf{A} = (\{1,2,3\}, \{R(1,2,3), P(1)\})$  is acyclic.
- $\mathbf{A} = (\{1,2,3\}, \{R((1,2,3), Q(1,2))\})$  is **not** acyclic because  $1, R(1,2,3), 2, Q(1,2), 1$  form a cycle.

# c-Acyclicity

**Definition:** Let  $\mathbf{A} = (A, R_1, \dots, R_m, c_1, \dots, c_k)$  be a relational structure with constants  $c_1, \dots, c_k$ .

- The **incidence graph**  $\text{inc}(\mathbf{A})$  of  $\mathbf{A}$  is the bipartite graph with
  - nodes the elements of  $A$  and the facts of  $A$
  - edges between elements and facts in which they occur
- The structure  $\mathbf{A}$  is **c-acyclic** if
  - Every cycle of  $\text{Inc}(A)$  contains at least one constant  $c_i$ , and
  - Only constants may occur more than once in the same fact.

## Example:

- $\mathbf{A} = (\{1,2,3\}, \{R((1,2,3), Q(1,2), 1)\})$  is c-acyclic
  - the cycle  $1, R(1,2,3), 2, Q(1,2), 1$  contains the constant 1, and it is the only cycle of  $\text{inc}(\mathbf{A})$ .
- $\mathbf{A} = (\{1,2,3\}, \{R((1,2,3), Q(1,2), 3)\})$  is not c-acyclic
  - the cycle  $1, R(1,2,3), 2, Q(1,2), 1$  contains no constant.

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# When do Homomorphism Dualities Exist?

## Theorem:

Let  $\mathbf{F}$  be a finite set of relational structures with constants consisting of homomorphically incomparable core structures.

- Then the following statements are equivalent:
  - $\mathbf{F}$  is an **obstruction set** of some finite set  $\mathbf{D}$  of structures.
  - Each structure  $\mathbf{F}$  in  $\mathbf{F}$  is **c-acyclic**.
- Moreover, there is an algorithm that, given such a set  $\mathbf{F}$  consisting of c-acyclic structures, computes a finite set  $\mathbf{D}$  of structures such that  $(\mathbf{F}, \mathbf{D})$  is a duality pair.

## Proof:

A (lengthy) reduction to the [Foniok- Nešetřil, Tardif Theorem](#).



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# Unique Characterizations and Homomorphism Dualities

**Theorem:** Let  $\mathbf{M} = (\mathbf{S}, \mathbf{T}, \Sigma)$  be a GAV schema mapping such that for every target relation symbol  $R$ , the set  $\mathbf{F}(\mathbf{M}, R)$  of the canonical structures of the GAV constraints in  $\Sigma$  with  $R$  as their head consists of homomorphically incomparable cores.

Then the following statements are equivalent:

- $\mathbf{M}$  is **uniquely characterizable via universal examples** w.r.t. the class of all GAV constraints.
- For every target relation symbol  $R$ , the set  $\mathbf{F}(\mathbf{M}, R)$  is the **obstruction set** of some finite set of structures.
- For every target relation symbol  $R$ , the set  $\mathbf{F}(\mathbf{M}, R)$  consists entirely of **c-acyclic** structures.

# Applications

- The GAV schema mapping  $\mathbf{M}$  specified by

$$\forall x \forall y (E(x,y) \rightarrow F(x,y))$$

is uniquely characterizable (the canonical structure is c-acyclic).

- More generally, if  $\mathbf{M}$  is a GAV schema mapping specified by a tgds in which all variables in the LHS are exported to the RHS, then  $\mathbf{M}$  is uniquely characterizable.

- The GAV schema mapping  $\mathbf{M}$  specified by

$$\forall x \forall y \forall u \forall v \forall w (E(x,y) \wedge E(u,v) \wedge E(v,w) \wedge E(w,u) \rightarrow F(x,y)).$$

is **not** uniquely characterizable:

the canonical structure contains a cycle with no constant on it, namely,

$$u, E(u,v), v, E(v,w), w, E(w,u), u$$

- The GAV schema mapping  $\mathbf{M}$  specified by

$$\forall x \forall y \forall u (E(x,y) \wedge E(u,u) \rightarrow F(x,y))$$

is **not** uniquely characterizable.

# Applications

Let  $\mathbf{M}$  be the GAV schema mappings specified by the constraints

- $\sigma: \forall x \forall y \forall z (E(x,y) \wedge E(y,z) \wedge E(z,x) \rightarrow F(x,z))$
- $\tau: \forall x \forall y (E(x,y) \wedge E(y,x) \rightarrow F(x,x))$
  
- The **canonical structures** of these constraints are
  - $A_\sigma = (\{x,y,x\}, \{E(x,y), E(y,z), E(z,x)\}, x, z)$
  - $A_\tau = (\{x,y\}, \{E(x,y), E(y,x)\}, x, x)$
  
- Both are c-acyclic; hence  $\{A_\sigma, A_\tau\}$  is an obstruction set of a finite set of structures.
  
- Therefore,  $\mathbf{M}$  is uniquely characterizable via universal examples.

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# Algorithmic Consequences

**Note:** Every GAV schema mapping  $\mathbf{M}$  is logically equivalent to one in **normal form**, i.e., to a GAV schema mapping  $\mathbf{M}^*$  such that for every target relation symbol  $R$ , the set  $\mathcal{F}(\mathbf{M}^*, R)$  of The canonical structures of the GAV constraints in  $\Sigma$  with as their head consists of homomorphically incomparable cores.

**Theorem:** The following problem is NP-complete:  
Given a GAV schema mapping  $\mathbf{M}$ , is it uniquely characterizable w.r.t. the class of all GAV constraints?  
The same problem is in LOGSPACE for GAV schema mappings  $\mathbf{M}$  in normal form.

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# Synopsis

- Introduced and studied the notion of unique characterization of a schema mapping by a finite set of universal examples.
- Every LAV (n-modular) schema mapping is uniquely characterizable via universal examples w.r.t. the class of all LAV (n-modular) constraints.
- There are GAV schema mappings that are **not** uniquely characterizable by any set of universal examples w.r.t. the class of all GAV constraints.
- Necessary and sufficient condition, and an algorithmic criterion for a GAV schema mapping to be uniquely characterizable via universal examples w.r.t. the class of all GAV constraints.
- **Open Problem:**  
Unique characterizations of GLAV schema mappings?